

# Finite Automata

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CSE 105 Week 2 Discussion

# Deadlines and Logistics

- Schedule your tests asap on [PrairieTest](#) !
- Do review quizzes on [PrairieLearn](#)
- HW2 due Thur 1/30/25 at 5pm (late submission open until 8am next morning)

# DFA Definition

## DEFINITION 1.5

A *finite automaton* is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

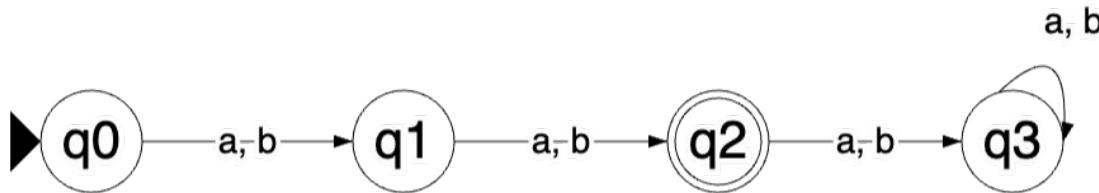
1.  $Q$  is a finite set called the *states*,
2.  $\Sigma$  is a finite set called the *alphabet*,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the *transition function*,<sup>1</sup>
4.  $q_0 \in Q$  is the *start state*, and
5.  $F \subseteq Q$  is the *set of accept states*.<sup>2</sup>

each state of DFA  
has exactly one  
outgoing arrow for each symbol  
in alphabet.

1 and only 1 possible start state

0 or more accept states

# DFA State Diagram to Formal Definition



[flap.js link](#)

$$\delta((q_i, x)) = \begin{cases} q_{i+1} & \text{if } 0 \leq i < 3, x \in \Sigma \\ q_i & \text{if } i = 3, x \in \Sigma \end{cases}$$

Write out the formal definition of the above DFA

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$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{a, b\}$$

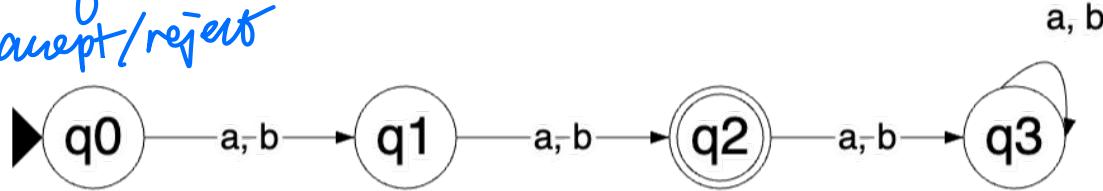
$$q_0 = q_0$$

$$F = \{q_2\}$$

$\delta$	a	b
$q_0$	$q_1$	$q_1$
$q_1$	$q_2$	$q_2$
$q_2$	$q_3$	$q_3$
$q_3$	$q_3$	$q_3$

# DFA Computation

input : string  
output: accept/reject



[flap.js link](#)

any string of length 2 over {a, b}

- What are some example strings accepted by this DFA?
- What is the language recognized by this DFA?  $\{aa, ab, ba, bb\}$
- What is a regular expression that describes this language?

?  $L(a \cup b) = \{a, b\}$

$L((a \cup b) \cdot (a \cup b))$

$\downarrow$

$a \text{ or } b$

$\leftarrow$

$a \text{ or } b$

$$(a \cup b) \cdot (a \cup b)$$

$$(a \cup b)^2$$

$$\sum^2$$

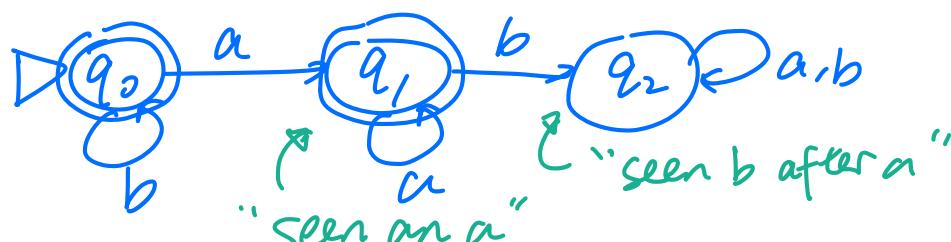
$\Sigma$  as regex  
= shorthand for  $(a \cup b)$

# DFA Design

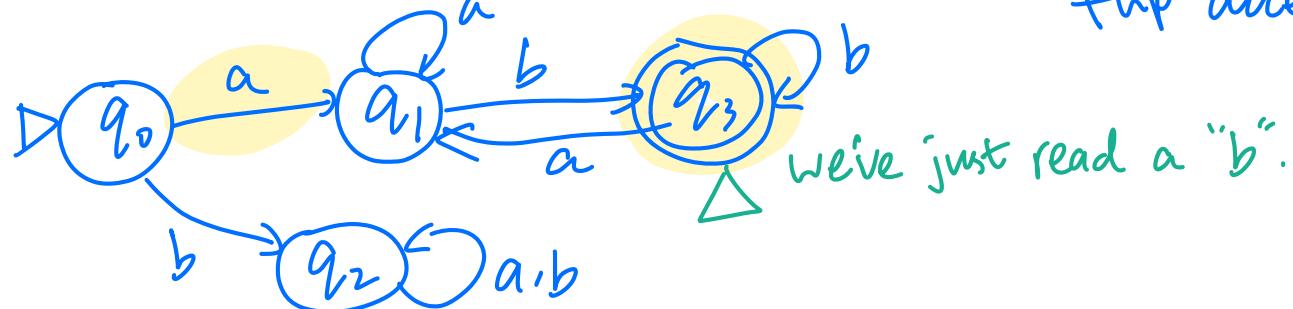
deterministic

Consider the alphabet  $\Sigma = \{a, b\}$ , design DFA that recognizes:

$\{w \mid w \text{ does not contain the substring } ab\}$

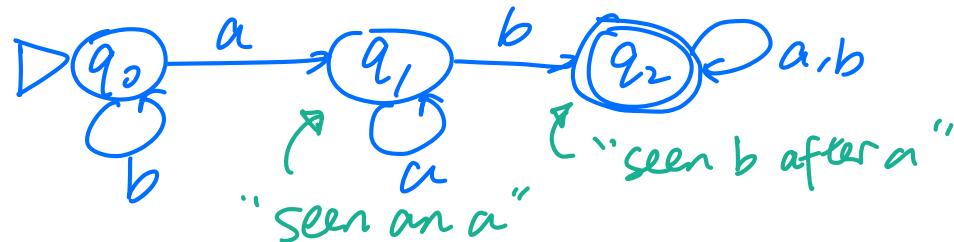


$\{w \mid w \text{ begins with } a \text{ and ends with } b\}$



Complement:

$\{w \mid w \text{ contains substring } ab\}$



flip accept/non-accept states

# NFA Definition

## DEFINITION 1.37

A nondeterministic finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set of states,
  2.  $\Sigma$  is a finite alphabet,
  3.  $\delta: Q \times \Sigma \rightarrow \mathcal{P}(Q)$  is the transition function,
  4.  $q_0 \in Q$  is the start state, and
  5.  $F \subseteq Q$  is the set of accept states.
- power sets .  
output would be a sets of states*
- one single state  
↓ as output*
- DFA:  $\delta: Q \times I \rightarrow Q$

overload meaning of symbol:

$\epsilon$  : as a string represents empty string.

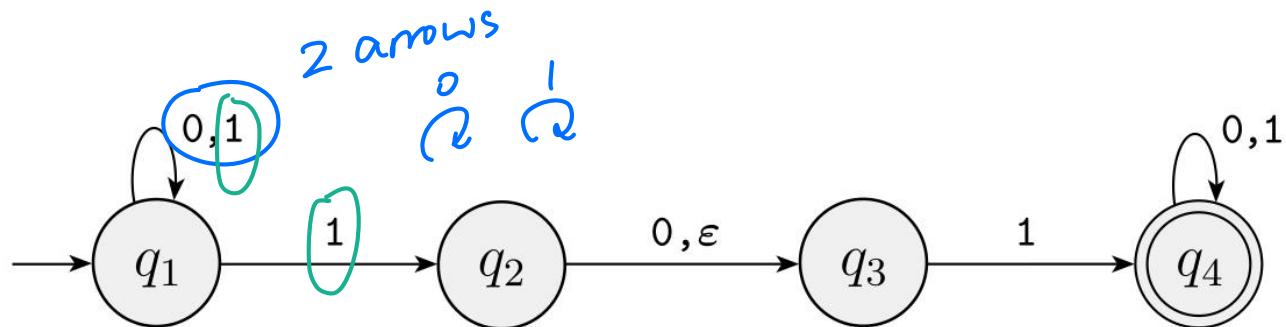
here is an arrow label

$$\{\epsilon\} \cup \Sigma$$

arrow labels: symbols from alphabet or  $\epsilon$

$\xrightarrow{\epsilon}$   
Spontaneous moves

# NFA Transition Function



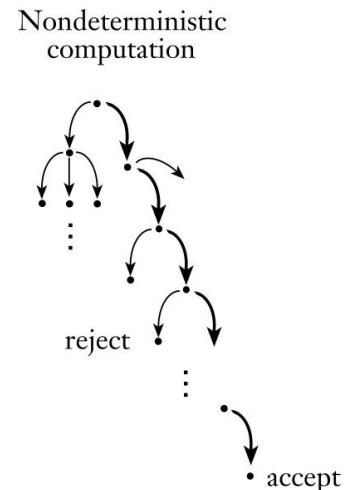
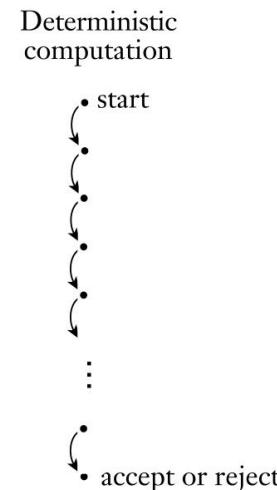
Consider alphabet  $\Sigma = \{0, 1\}$

Complete the transition function of the NFA

	0	1	$\epsilon$
$q_1$	$\{q_1\}$	$\{q_1, q_2\}$	$\emptyset$
$q_2$	$\{q_3\}$	$\emptyset$	$\{q_3\}$
$q_3$	$\emptyset$	$\{q_4\}$	$\emptyset$
$q_4$	$\{q_4\}$	$\{q_4\}$	$\emptyset$

# NFA vs. DFA

- **Nondeterministic:** when an NFA is in a given state and reads the next input symbol, there is a set of possible next states, i.e. several choices (or no choice) may exist for the next state
- **$\epsilon$ -transitions:** spontaneously moving without reading any input symbols
- **Acceptance condition:** there is a computation of the machine on the string that processes the whole string and ends in an accept state

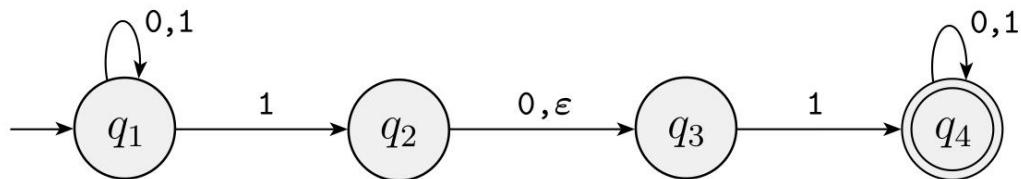


**FIGURE 1.28**

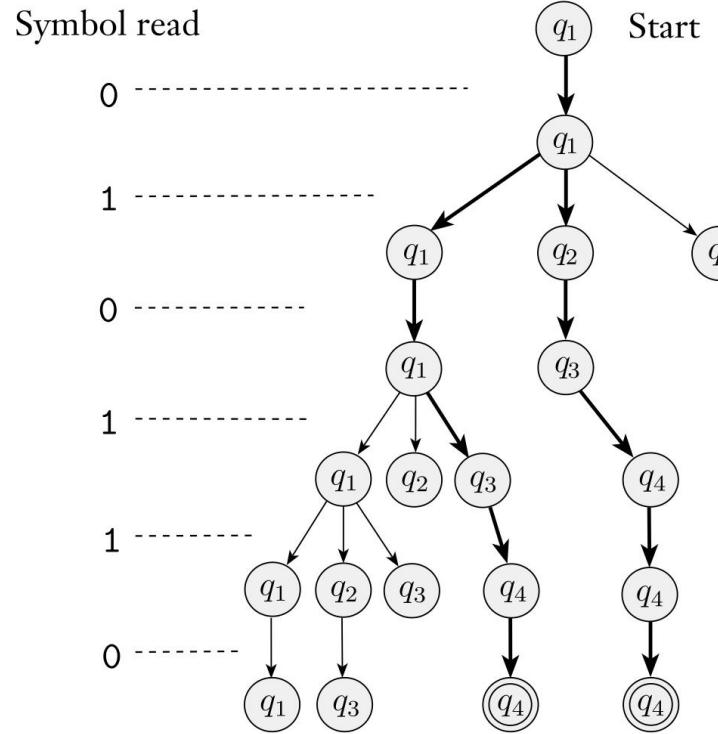
Deterministic and nondeterministic computations with an accepting branch

# NFA Computation

Computation of the NFA on string 010110



Sipser Figure 1.27, Pg 48



Sipser Figure 1.29, Pg 49

# Questions?

Good luck for HW 2 !